

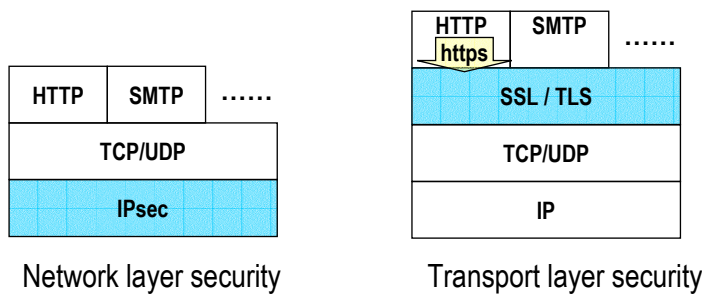
## Lecture 4:

# Transport Layer Security (secure Socket Layer)

Recommended reading: Thomas, SSS and TLS essentials (old but very well written)

Giuseppe Bianchi

## SSL/TLS: layered view



SSL/TLS: operates on top of TCP, but below application layer  
(can be considered as top sublayer for L4)

SSL/TLS: it is NOT a security enhancement of TCP!

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## History of SSL/TLS

SSL v1	SSL v2	SSL v3	TLS v1
by Netscape never released	Integrated in netscape 1.1 <b>Badly broken!</b>	Redesigned from scratch by Netscape	IETF SSL design (versus Netscape) SSL v3.1
1994	1995	1996	1996-1999

Public Domain implementation  
available @ [www.openssl.org](http://www.openssl.org)

- **TLS 1.0 specification in RFC 2246**
  - ⇒ More recent RFC specification: TLS 1.1, RFC 4346, April 2006
  - ⇒ Even more recent: TLS 1.2, Internet Draft, March 2007
- **Basically SSL with minor modification**
  - ⇒ Also referred to as SSL v3.1
  - ⇒ However NOT backward compatible with SSL 3.0
- **Not necessarily limited to Internet transport!**
  - ⇒ Devised for point-to-point relationships in general
  - ⇒ E.g. EAP-TLS (RFC 2716)
    - TLS mechanisms employed for authentication and integrity protection over L2 EAP

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## Goals

- **Establish a session**
  - ⇒ Agree on algorithms
  - ⇒ Share secrets
  - ⇒ Perform authentication
- **Transfer application data**
  - ⇒ Communication privacy
    - Symmetric encryption
  - ⇒ Data integrity
    - Keyed Message Authentication Code (HMAC)

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## Session vs Connection

### →Connection:

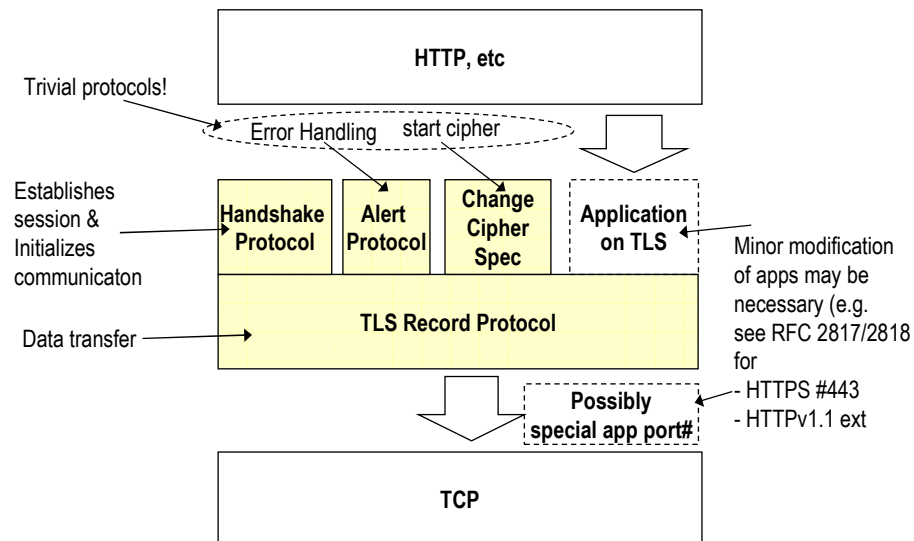
- ⇒ Transport service
- ⇒ TLS provides encryption and integrity
- ⇒ *TLS Record Protocol*

### →Session:

- ⇒ Association between Client and Server
  - Authentication and exchange of security parameters
- ⇒ May include several connections
  - Heavy work: done once at the beginning
  - Designed for HTTPv1.0;
- ⇒ *TLS Handshake Protocol*

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## TLS protocol stack



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## Support of applications

→ **Typical approach: reserve a special port number for SSL/TLS mediated application**

⇒ Example:

→ port 80 = HTTP over TCP

→ Port 443 = HTTP over SSL/TLS (HTTPS)

→ **SSL/TLS common application port numbers**

⇒ smtp 465

⇒ spop3 995

⇒ imaps 991

⇒ telnets 992

⇒ ...

→ **Alternative approach: slightly modify application to reuse traditional port number**

⇒ E.g. HTTPv1.1:

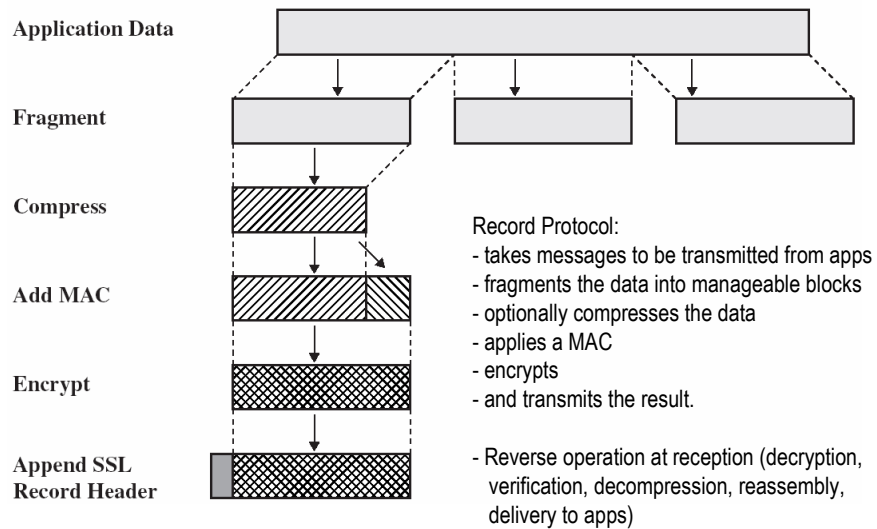
⇒ upgrade: TLS/1.0 new command (see RFC 2817)

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## TLS Record Protocol

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## Record Protocol operation



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## Secret key dependent operation

### → Message Authentication Code computation

⇒ Uses HMAC

→ see Radius Message Authenticator lesson

⇒ Secret (symmetric) negotiated during handshake

### → Fragment Encryption

⇒ Symmetric encryption

⇒ Algorithm (RC4, DES, 3DES, etc) negotiated during handshake, too

⇒ Secret key derived from security parameters negotiated during handshake

→ Differs from key used in MAC

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## Fragmentation

- **At application ↔ TLS interface**
  - ⇒ DON'T get confused with TCP segmentation!!
- **Input: block message of arbitrary size**
  - ⇒ possibly multiple aggregated messages of SAME protocol
- **Fragment size:  $2^{14}=16384$  bytes**

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## Compression

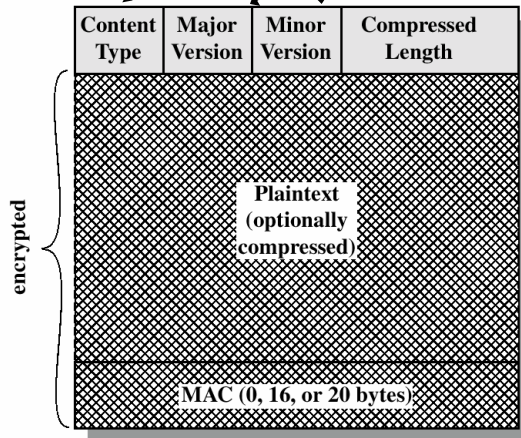
- **Based on compression state negotiated...**
  - ⇒ Lossless compression, if size increases (may happen in special cases) increase must NOT exceed 1024 bytes
- **... but no compression by default**
  - ⇒ Until recently, no compression was employed in TLS & SSLv3!
    - Feature formerly used only in SSLv2
  - ⇒ But some specifications recently emerged
    - » RFC 3749 – support for DEFLATE (RFC 1951)
    - » RFC 3943 – support for Lempel-Ziv-Stac
  - Reason: widespread diffusion of “verbose” languages – e.g. XML

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## SSL Record Protocol Data Unit

Application data OR Alert  
OR Handshake OR  
Change\_cipher\_spec

3.1 for TLS



### → 5 bytes header

- ⇒ Content type = 1
- ⇒ Version = 1+1
- ⇒ Length = 2

### → Content Type

- ⇒ higher layer protocol
  - 20 = 0x14 = Change Cipher Spec
  - 21 = 0x15 = Alert
  - 22 = 0x16 = Handshake
  - 23 = 0x17 = Application Data

### → Sequence number

- ⇒ Not transmitted, but kept at both connection extremes
  - Remember: reliable transport, hence no "holes"

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## MAC generation

### → Computed through HMAC

- ⇒ HMAC(seq.num | ctype | version | len | data)
  - Minor differences with SSLv3
    - » No version in SSLv3
    - » Slightly different HMAC construction
      - (RFC final specification not yet finalized at the time ☺)

### ⇒ Hash used in HMAC:

- MD5 → 16 bytes hash
- SHA-1 → 20 bytes hash

### → Negotiation may decide not to use MAC

- ⇒ In practice, always present

### → Sequence number:

- ⇒ Not transmitted but included in the MAC
  - to detect missing/extra data
  - and to prevent replay/reordering attacks

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## Encryption

- **Applies to both (compressed) fragment and MAC**
- **Symmetric encryption algorithm**
  - ⇒ Block or stream cipher
  - ⇒ Key generated from security secrets exchanged during handshake
- **Algorithm negotiated during handshake**
  - ⇒ Clear text possible
    - If no encryption negotiated
    - Or in early handshake phases
- **Encryption algorithm CANNOT increase size of more than 1024 bytes**
- **If block cipher, padding necessary to achieve block size**

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## TLS Handshake Protocol

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## Handshake goals

### → Negotiation and exchange of parameters

⇒ to agree on algorithms, exchange random values, check for session resumption.

### → Secure negotiation of a shared secret

⇒ Never transmitted in clear text; derived from crypto parameters exchanged

⇒ Robust to MITM attacks if connection authenticated

### → Optional authentication

⇒ for both client and/or server

→ in practice always required for server

⇒ Through asymmetric, or public key, cryptography

→ Exchange certificates and/or crypto information

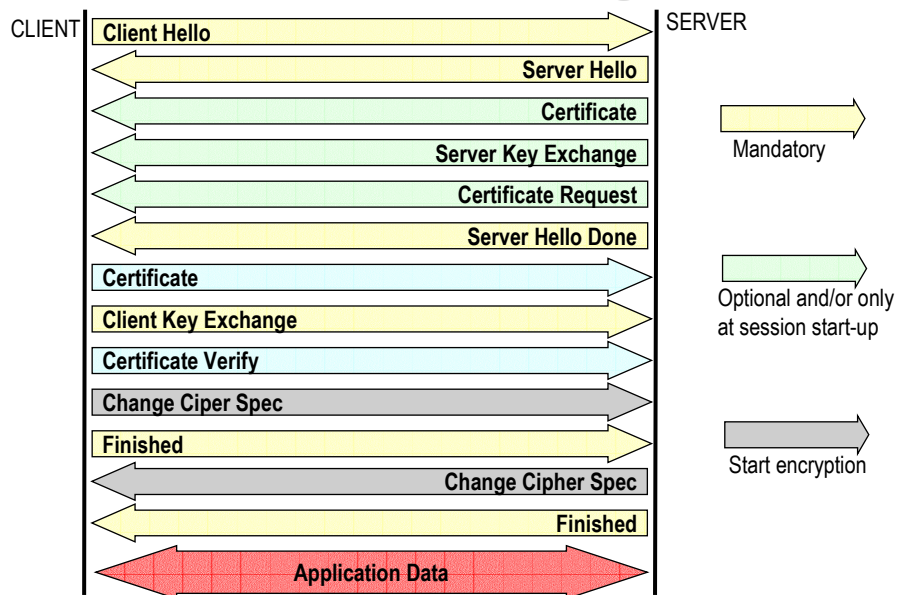
### → Reliable Negotiation:

⇒ Allow C&S to verify that no tampering by an attacker occurred

⇒ Attacker cannot tamper communication without being detected by the involved C&S parties

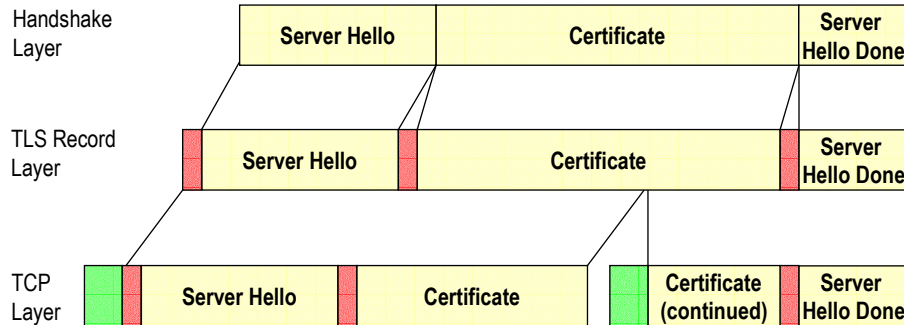
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## Handshake Messages



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## TCP segmentation (example)



→ **Simplified example: server responds to client hello with certificate only**

→ **Arbitrary correspondence between TLS Records and TCP segments (TLS records fragmented into TCP segments)**

⇒ Obvious! Do you remember TCP? ☺

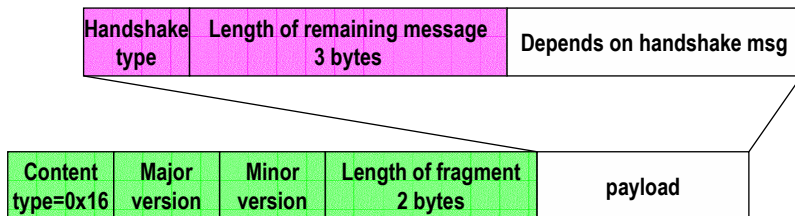
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## Handshake message format

→ **Incapsulated in TLS Record**

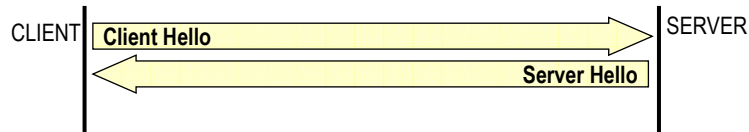
→ **Handshake types:**

- hello\_request(0), client\_hello(1), server\_hello(2),
- certificate(11), server\_key\_exchange (12),
- certificate\_request(13), server\_hello\_done(14),
- certificate\_verify(15), client\_key\_exchange(16),
- finished(20)



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## Handshake phase 1



### → General goal:

⇒ Create TLS/SSL connection between client and server

### → Detailed goals

⇒ Agree on TLS/SSL version

⇒ Define session ID

⇒ Exchange nonces

→ timestamp + random values used to, e.g., generate keys

⇒ Agree on cipher algorithms

⇒ Agree on compression algorithm

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## Client Hello

### → First message, sent in plain text

### → Incapsulated in 5 bytes TLS Record

### → Content:

⇒ Handshake Type (1 byte), Length (3 bytes), Version (2 bytes)

→ Type 01 for Client Hello

→ Version: 0300 for SSLv3, 0301 for TLS

⇒ 32 bytes Random (4 bytes Timestamp + 28 bytes random)

→ First 4 bytes in standard UNIX 32-bit format

» Seconds since the midnight starting Jan 1, 1970, GMT

⇒ (Session ID length, session ID)

→ 1+32 bytes – either empty or previous session ID

» Previous session ID = resumed session state

⇒ (Cipher Suites length, Cipher suites)

→ 2+Nx2 bytes, in order of client preference

⇒ (Compression length, compression algorithm)

→ 1+1 byte (in all cases, today: compression algo = 00 = null)

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# Cipher suites

PUBLIC-KEY    SYMMETRIC    HASH  
ALGORITHM    ALGORITHM    ALGORITHM  
TLS\_AAAAAA\_WITH\_BBBBBBBB\_CCCCCCCC

TLS\_NULL\_WITH\_NULL\_NULL ← INITIAL (NULL) CIPHER SUITE

TLS\_RSA\_WITH\_NULL\_MD5  
TLS\_RSA\_WITH\_NULL\_SHA

TLS\_RSA\_WITH\_RC4\_128\_MD5  
TLS\_RSA\_EXPORT\_WITH\_RC2\_CBC\_40\_MD5  
TLS\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA

TLS\_DH\_DSS\_WITH\_3DES\_EDE\_CBC\_SHA  
TLS\_DH\_RSA\_WITH\_DES\_CBC\_SHA

TLS\_DHE\_DSS\_WITH\_DES\_CBC\_SHA  
TLS\_DHE\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA

TLS\_DH\_anon\_WITH\_RC4\_128\_MD5

JUST A FEW EXAMPLES  
(FULL LIST IN RFC)

Note: arbitrary combination not possible: must choose from a (small) list of combinations

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# Server Hello

→ In reply to Client Hello, sent in plain text

→ Content:

⇒ Handshake Type (1 byte), Length (3 bytes)

→ Type = 02 for Server Hello)

⇒ Version

→ Highest supported by both Client and Server

⇒ 32 bytes Random (4 bytes Timestamp + 28 bytes random)

→ Different random value than Client Hello

⇒ (Session ID length, session ID)

→ If Client session ID=0, then generate session ID

→ Otherwise, if resumed session ID OK also for Server, use it;

→ Otherwise generate new one

⇒ Cipher Suite, 2 bytes

→ Selected from client list (usually best one, but no obligation)

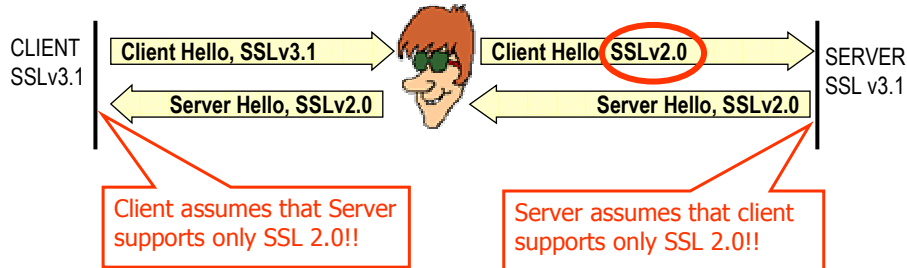
⇒ Compression algo, 1 byte

→ Selected from Client list

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## Downgrade attack

MITM – doesn't break SSL3.x, but knows very well how to break SSL2.0 (40 bit encryption only)



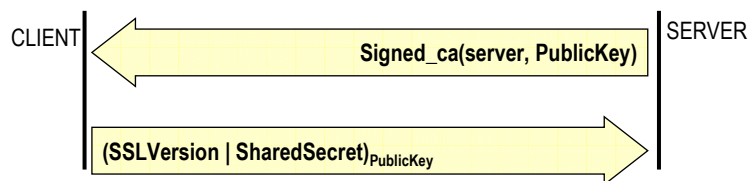
### → Downgrade attacks on cipher suites, too

⇒ MITM: remove “strong” cipher suites, and leave in Client Hello only the ones he knows he can break!

Since hello message authentication not viable at the moment (not yet a shared secret available), verification must be necessarily delayed to a subsequent phase...

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## Handshake phase 2 & 3 (schematic, RSA case)



### → Phase 2:

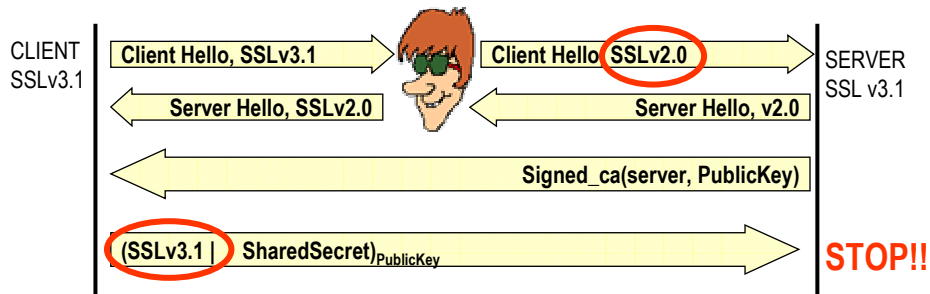
⇒ Server sends authentication information (certificate)  
⇒ Along with Public Key (in certificate or in extra msg)

### → Phase 3:

⇒ Client generates a Shared Secret  
→ Length depends on agreed cipher suite (RSA = 46 bytes)  
⇒ And transmits it to Server, encrypted with Public Key  
→ Native SSL version included to early combat downgrade attacks

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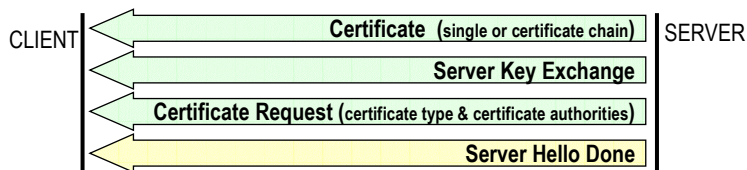
## Against downgrade attack



- Since (signed) certificate cannot be tampered...
- ... and since MITM cannot decrypt SharedSecret
  - ⇒ and must act as pass-through
- Downgrade attack on SSL version easily discovered!
  - ⇒ Encrypted SSL version is the one initially proposed, NOT the one negotiated in server hello, of course

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## Handshake Phase 2 details



- **Certificate**
  - ⇒ typically a certificate chain
- **Server Key exchange**
  - ⇒ When certificate not issued (no server authentication required – UNSAFE!!)
  - ⇒ When certificate key is for signature only (not for encryption)
  - ⇒ When certificate key cannot be used for legal reasons
    - E.g. RSA key larger than 512 bits may not be used for encryption outside US, but only for signature
    - Larger RSA key encoded in certificates may be used to sign shorter, temporary, RSA key
  - ⇒ When Ephemeral Diffie-Hellman used
- **Certificate request**
  - ⇒ Requires client authentication (asks for an acceptable certificate)
- **Server Hello Done**
  - ⇒ empty message

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## Example: Ephemeral Diffie-Hellman / 1

### → Review of DH

- ⇒ Server transmits public value  $g^X \text{ mod } p$
- ⇒ Client replies with public value  $g^Y \text{ mod } p$
- ⇒ Both compute shared key as
  - $K = (g^Y)^X \text{ mod } p = (g^X)^Y \text{ mod } p$

### → Fixed versus Ephemeral

- ⇒ Depends on whether X and Y values are pre-assigned or dynamically generated

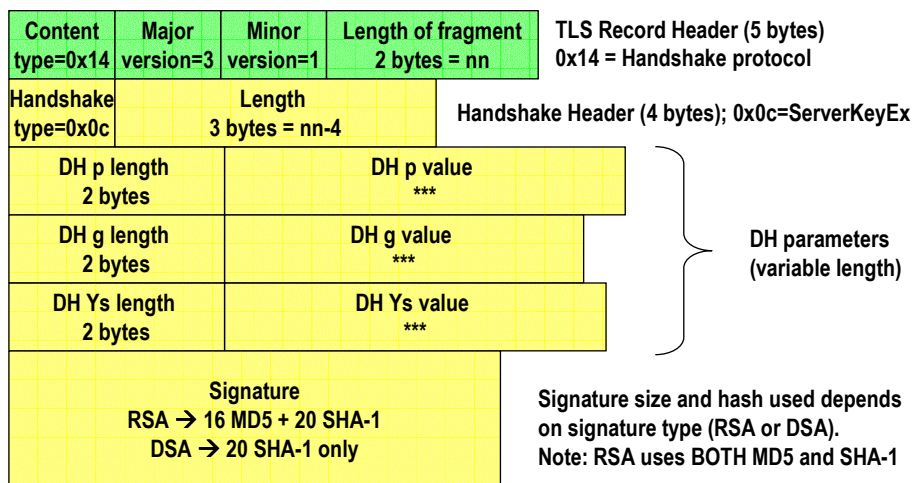
### → Support in TLS:

- ⇒ Certificate contains key used for signature
- ⇒ KeyExchange message contains signed DH parameters
  - If not signed (Anonymous DH), MITM would be trivial!

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## Example: Ephemeral Diffie-Hellman / 2

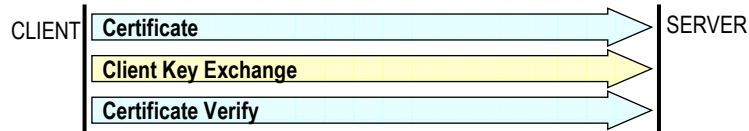
ServerKeyExchange message format:



Client replies with DH public value Ys only (p and g are already known by the Server 😊)

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## Handshake Phase 3 details



→ **Certificate:**

⇒ Client certificate if requested

→ **Client Key exchange**

⇒ Transmit encrypted symmetric (premaster) key or information to generate secret key at server side (e.g. Diffie-Hellman Ys)

→ **Certificate Verify**

⇒ Signature of “something” known at both client and server

→ ALL the messages exchanged up to now

» Which is not only known, but also useful! Allows to detect at an early stage (more later on this) tampering attacks (e.g. cipher suite downgrade)

⇒ To prove Client KNOWS the private key behind the certificate

→ Otherwise I could authenticate by simply copying a certificate ☺

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## A (smart) detail on certificate verify

→**Q: Why Certificate Verify does not immediately follows certificate?**

→**A: to include connection specific crypto parameters into signature!**

→ master secret, client & server random values

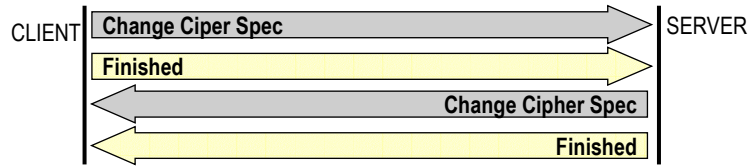
» Since master secret can be computed only after the ClientKeyExchange message, Certificate Verify follows ClientKeyExchange

⇒ Otherwise attacker may sniff both certificate and certificate verify and replay it later on to impersonify the user!

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## Phase 4



### → Phase 4 has two fundamental goals

- ⇒ Switch to the new security connection state
  - Hence authentication and encryption based on keys computed with the exchanged security parameters
  - Immediately applied to finished message
    - » First check that everything went OK! If Client and/or server cannot decrypt finished message, something has gone wrong!
- ⇒ Authenticate all the previous handshake messages
  - Finished = digital signature of all the previous handshake messages as transmitted and received by the peer up to now
  - To avoid MITM tampering

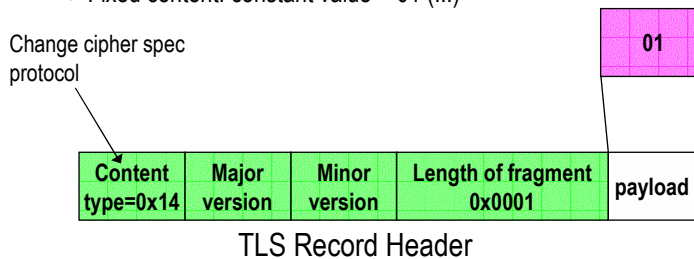
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## Change Cipher Spec

### → Defined as a separate protocol (!)

### → Only one message:

- ⇒ 1 single byte
- ⇒ Fixed content: constant value = 01 (!!!)



### Why a separate protocol, and not part of the Handshake?

Wise choice! TLS specification allows aggregation of multiple messages of a SAME upper layer protocol into single TLS Record. How this possible with a Change Cipher Spec? (TLS Record is either ALL encrypted, or NOT encrypted)

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## TLS Key Computation

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## Secret hierarchy

### → Pre Master Secret

- ⇒ Exchanged during handshake (RSA)
- ⇒ Derived from D-H parameters

### → Master Secret

- ⇒ Per-connection (explicitly includes timestamp & random)
- ⇒ Derived from:
  - Pre Master Secret
  - Timestamp+Random (server)
  - Timestamp+Random (client)

### → Connection state: up to 6 keys

- ⇒ Encryption keys
- ⇒ Initialization Vectors
- ⇒ MAC secrets
- ⇒ x 2 (1 set per client, 1 set per server)

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## PRF

→ **Pseudo Random Function**

→ **A fundamental (new) function in TLS**

⇒ SSLv3 and below used different, less robust, approaches

→ **Allows to generate an arbitrary amount of crypto material from limited initial material**

⇒ Essential, as the amount of key material depends on cipher suites and is not known or bounded a priori!

→ **Used also to generate master key**

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## Expansion function $P_{\text{hash}}$

→  $A_0 = \text{seed}$

→  $A_1 = \text{HMAC}_{\text{hash}}(A_0)$

→  $A_2 = \text{HMAC}_{\text{hash}}(A_1)$

→  $A_3 = \text{HMAC}_{\text{hash}}(A_2)$

→ ...

Same secret used in all HMACs  
Hash = chosen hash function

$P_{\text{hash}} =$ 

$\text{HMAC}_{\text{hash}}(A_1   \text{seed})$	$\text{HMAC}_{\text{hash}}(A_2   \text{seed})$	$\text{HMAC}_{\text{hash}}(A_3   \text{seed})$	.....
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→  $P_{\text{hash}}$  **function of:**

⇒ Chosen hash function

⇒ Secret

⇒ seed

→  $P_{\text{hash}}$  **size: any (unlike HMAC size)**

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## PRF (until TLS 1.1)

(TLS1.2 moved to SHA-256)

→ **Employs two hash algorithms**

- ⇒ MD5 and SHA-1
- ⇒ Greater robustness! Must break both...

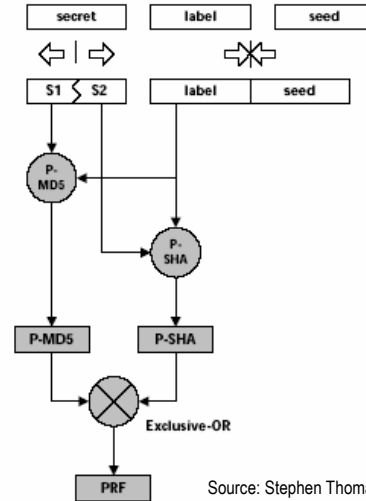
→ **Input:**

- ⇒ Desired length
- ⇒ Secret
  - Assume even size, split it in two parts ( $S_1, S_2$ )
- ⇒ Seed
- ⇒ Label (an ascii string)

→ **PRF(Secret, Label, Seed) =**

$$P_{MD5}(\text{Label} \parallel \text{Seed}) \text{ XOR } P_{SHA-1}(\text{Label} \parallel \text{Seed})$$

- ⇒ MD5 uses  $S_1$  as secret
- ⇒ SHA-1 uses  $S_2$  as secret



Source: Stephen Thomas, SSL&TLS Essentials

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## Key generation

→ **Master secret [48 bytes]:**

- ⇒ PRF(pre-master-secret, "master secret", Client-Random | Server-Random)

→ **Key Block [size depends on cipher suites]:**

- ⇒ PRF(master-secret, "key expansion", Server-Random | Client-Random)

→ **Individual keys:**

- ⇒ Partition key block into up to 6 fields in the following order:
- ⇒ Client MAC, Server MAC, Client Key, Server Key, Client IV, Server IV

PRF used also in computation of finished message instead of "normal"

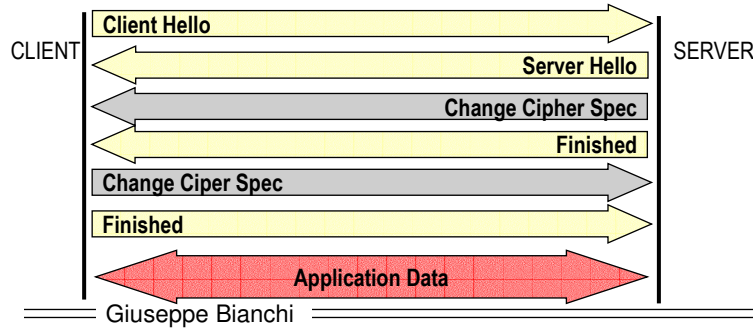
MD5 or SHA-1 Hash. E.g. For client finished message:

PRF(master-secret, "client finished", MD5(all handshake msg) | SHA-1(all handshake msg)) [12 bytes]

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## Abbreviated handshake (3-way) (session resumption)

- Used to re-generate key material
  - ⇒ For new connection
- Avoids to reauthenticate peers
  - ⇒ Done at start of session only
- Avoid to re-exchange pre-master-secret
- Exchange new TS+random values

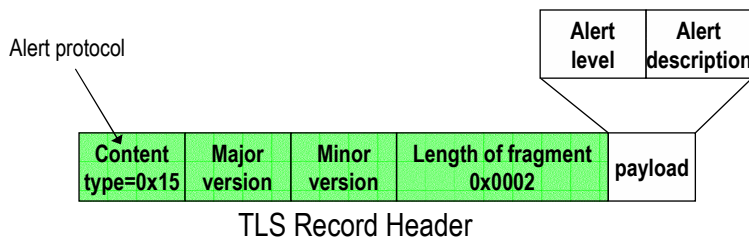


## TLS connection management & application support

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# Alert Protocol

- TLS defines special messages to convey “alert” information between the involved fields
- Alert Protocol messages encapsulated into TLS Records
  - ⇒ And accordingly encrypted/authenticated
- Alert Protocol format (2 bytes):
  - ⇒ First byte: Alert Level
    - warning(1), fatal(02)
  - ⇒ Second Byte: Alert Description
    - 23 possible alerts



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# Sample alerts

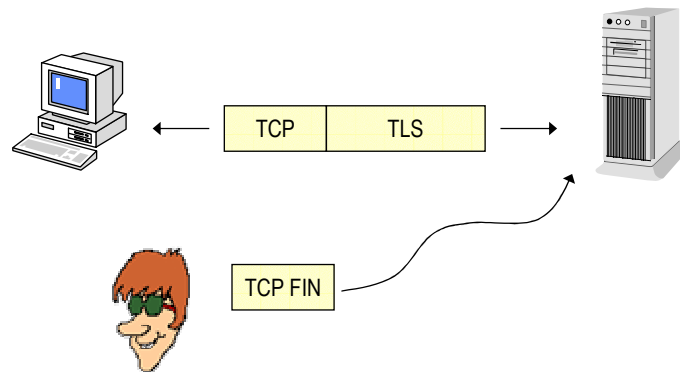
See RFC2246 for all alerts and detailed description

- **unexpected\_message**
  - ⇒ Inappropriate message received
    - Fatal - should never be observed in communication between proper implementations
- **bad\_record\_mac**
  - ⇒ Record is received with an incorrect MAC
    - Fatal
- **record\_overflow**
  - ⇒ Record length exceeds  $2^{14}+2048$  bytes
    - Fatal
- **handshake\_failure**
  - ⇒ Unable to negotiate an acceptable set of security parameters given the options available
    - Fatal
- **bad\_certificate, unsupported\_certificate, certificate\_revoked, certificate\_expired, certificate\_unknown**
  - ⇒ Various problems with a certificate (corrupted, signatures did not verify, unsupported, revoked, expired, other unspecified issues which render it unacceptable
    - Warning or Fatal, depends on the implementation

If fatal, terminate and do not allow resumption with same security parameters (clear!!!)

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## Truncation attack

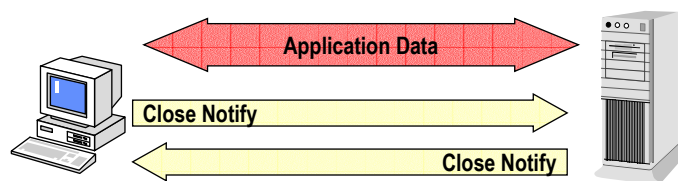


An attacker may end connection at any time by sending a TCP FIN  
Part of the intended information exchange is lost

How can Server and Client distinguish this from a transaction that “normally” completes?

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## Solution: Close Notify



→ **Issued by any party**

⇒ Close Notify = Alert (warning level)

→ **Informs that no more data will be transmitted**

→ **A connection that ends abruptly without a Close Notify may be a truncation attack**

*A remark: note the weakness of TLS against TCP DOS attacks in general!*

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## Conclusive remarks

### → Performance drawbacks:

- ⇒ Increased overhead and latency!
  - Mostly for encryption overhead and handshake overhead
- ⇒ Computational overhead may kill server performance
  - Up to two orders of magnitude
    - » ref: Transport Layer Security: how much does it really costs? Infocom 99

### → TLS does NOT protect TCP

- ⇒ How to protect non SSL/TLS-aware applications?
- ⇒ Tools available to protect a generic TCP connection
  - stunnel = TCP over TLS over TCP
    - » [www.stunnel.org](http://www.stunnel.org)
    - » Crazy (tcp tunneled over tcp), but as last resort...
- ⇒ DOS attacks to TCP remains a significant issue (no protection at all)

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## DTLS

### → Recently specified

- ⇒ RFC 4347 – Datagram TLS – April 2006
  - TLS over UDP
- ⇒ DTLS design goal:
  - Be as most as possible similar to TLS!
- ⇒ DTLS vs TLS
  - TLS assumes orderly delivery
    - » DTLS: Sequence number explicitly added in record header
  - TLS assumes reliable delivery
    - » Timeouts added to manage datagram loss
  - TLS may generate large fragments up to 16384B
    - » DTLS includes fragmentation capabilities to fit into single UDP datagram, and recommends Path MTU discovery
  - TLS assumes connection oriented protocol
    - » DTLS connection = (TLS handshake – TLS closure Alert)

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